## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL	NUMBER	REQUEST DATE	FIRST NAMED APPLICANT	ATT	ORNEY DOCKET NO.
10/53	33,135	11/1/06	NONE		0665110111
Title: PHARMACEUTICAL COMPOSITIONS AND USES COMPRISING MUCUN A PRURIENS SEED POWDER AND EXTRACTS THEREOF IN THE TREATMENT OF NEUROLOGICAL DISEASES			Art Unit Paper Number		
Correspo	ndence Ad	dress:		,	

JAMES B. ARPIN
BAKER & BOTTS
THE WARNER, STE. 1300
1299 PENNSYLVANIA AVE., N.W.
WASHINGTON, DC 20004-2400

Licensee under 35 U.S.C. 184 is hereby granted to file in any foreign country a patent application and any amendments thereto corresponding to the subject matter of this U.S. application identified above and/or any material accompanying the petition. This license is conditioned upon modification of any applicable secrecy order and is subject to revocation without notice.

License Number:

538,671

**Grant Date:** 

24-Aug-07

This license is granted retroactively to the date(s) and the country(s) indicated on the attached decision.

Approved:

for Commissioner of Patents and Trademar

This license empowers the filing, the causation and the authorization of the filing of a foreign application or applications on the subject matter identified above, subsequent forwarding of all duplicate and formal papers and the prosecution of such application or applications.

This license does not empower the filing of any applications, amendments, supplements or continuances originating in this country which disclose inventions, modifications, or variations not disclosed in the subject matter identified above.

This license is to be retained by the licensee and may be used at anytime on or after the date thereof. This license is not retroactive unless specifically indicated.

The grant of this license does not in any way lesson the responsibility of the licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Foreign Assets Control, Department of the Treasury; Office of Munitions Control, Department of State (with respect to Arms, Munitions and Implements of War); the Bureau of Trade Regulation, Office of Export Adminstration, Department of Commerce; and the Department of Energy.

## LICENSE FOR FOREIGN FILING

[Title 35, United States Code (1952) Sections 184, 185, 186]



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

Petitioner:

VAN DER GIESSEN, et al.

:DECISION ON REQUEST

Petition No.:

10/533,135

:UNDER 37 CFR 5.25

Petition Filing Date:

01 November 2006

Docket No.:

066511.0111

Title: PHARMACEUTICAL COMPOSITIONS AND USES COMPRISING MUCUNA PRURIENS SEED POWDER AND EXTRACTS THEREOF IN THE TREATMENT OF NEUROLOGICAL DISEASES

This is a decision on the petition for retroactive foreign filing license.

It has been determined that a retroactive license for foreign filing under 35 U.S.C. 184 be granted with respect to the filing(s) listed below. The petition complies with 37 C.F.R. 5.25 in that there is an adequate showing that the subject matter in question was not under secrecy order, that the license was diligently sought, and that the material was filed abroad without the required license under 37 C.F.R 5.11 through error and without deceptive intent.

Foreign Filing Location	Date		
EPO	30 October 2002		
PCT/Europe	02 October 2003		

Xvorine R. Abbott, Esq. Patent Examiner

Licensing & Review (571) 272-6896

NONE

PHARMACEUTICAL COMPOSITIONS AND USES COMPRISING MUCUNA PRURIENS SEED POWDER AND EXTRACTS THEREOF IN THE TREATMENT OF NEUROLOGICAL DISEASES

Retro:

Expedited: (

Docket Number 0665110111

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Appli	cation of:		
Rob VAN DER G	IESSEN et al:	Examiner: Melenie Lee McCOR	MICK
Application No.:	10/533,135	Group Art Unit: 1655	
Filed:	April 29, 2005	Confirmation No. 2204	

For:

PRURIENS SEED POWDER AND EXTRACTS THEREOF IN THE TREATMENT

OF NEUROLOGICAL DISEASES

PETITION UNDER 35 U.S.C. § 184 FOR RETROACTIVE GRANT OF FOREIGN FILING LICENSE AND FOR EXPEDITED ISSUANCE UNDER 37 C.F.R. § 5.14(a)

MAIL STOP L&R

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

RECEIVED

NOV

LICENSING & HEVERIN

Sir:.

Pursuant to 35 U.S.C. § 184, Applicants respectfully request that the Honorable Commissioner of Patents, grant a retroactive foreign filing license to October 30, 2002. Applicants petition that the license be granted retroactively because Applicants filed a corresponding application abroad prior to issuance of a foreign filing license through error and without deceptive intent, and because Applicants believe that the application does not disclose an invention within the scope of Section 181 of this title.

Applicants respectfully submit that European Patent Application No. 02024475 2, which was filed on October 30, 2002, and Patent Cooperation Treaty Application No. PCT/EP2003/010975, which was filed on October 2, 2003, were filed prior to issuance of a foreign filing license in the corresponding U.S. Patent Application Serial No. 10/533,135, which was filed on April 29, 2005, by Rob Van Der Geissen et al., and is entitled PHARMACEUTICAL COMPOSITIONS AND USES COMPRISING MUCUNA

PRURIENS SEED POWDER AND EXTRACTS THEREOF IN THE TREATMENT

OF NEUROLOGICAL DISEASES. In support, Applicants further submit a Verified

Statement of Facts by Assignee European attorney, Dr. Joachim Wachenfeld.

Applicants respectfully request that an expedited, retroactive foreign filing license to October 30, 2002, be granted in accordance with 37 C.F.R. § 5.25. Applicants' representative respectfully requests that the U.S. Patent and Trademark Office (PTO) charge the amount of \$200.00 covering the requisite fee under 37 C.F.R. § 1.17(g) for such a Petition to the undersigned's Deposit Account No. 02-0375. Nevertheless, in the event of any variance between the fees determined by Applicants and the fees determined by the PTO, please charge or credit any such variance to the undersigned's Deposit Account No. 02-0375.

espectfully submitted,

BAKER BOTTS L

James B. Arpin

Registration No. 33,470

Dated: October 16, 2006

Baker Botts L.L.P.
The Warner, Suite 1300
1299 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-2400
(202) 639-7700 (telephone)
(202) 639-7890 (facsimile)

JBA/TJC/dw

Enclosures